

J-12  
JC217441-003

Nixon & Vanderhye P.C. (10/99)  
(Domestic Assigned) Page 1

**RULE 63 (37 C.F.R. 1.63)**  
**INVENTORS DECLARATION FOR PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**DNA-TARGETED BENZOTRIAZINE 1,4-DIOXIDES AND THEIR USE IN CANCER THERAPY**

the specification of which (check applicable box(es)):

☐ is attached hereto  
☒ was filed on March 17, 2005 as U.S. Application Serial No. Unassigned (Atty. Dkt. No. 4450-12)  
☒ was filed as PCT International application No. PCT/NZ2003/000210 on 17 September 2003  
and (if applicable to U.S. or PCT application) was amended on 9 August 2004

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s): Application Number	Country	Day/Month/Year Filed
521436	New Zealand	17 September 2002

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

Application Number	Day/Month/Year Filed

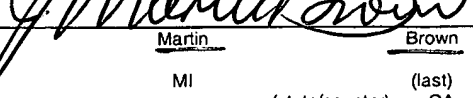
I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below.

Prior U.S./PCT Application(s): Application Serial No.	Day/Month/Year Filed	Status: patented pending, abandoned
PCT/NZ2003/000210	17 September 2003	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby ask that all correspondence in this matter be directed to:

**Customer Number: 23117**

1-00

1. Inventor's Signature:  Date: 4/21/05  
Inventor: J. Martin Brown United States of America (citizenship)  
(first) MI (last)  
Residence: (city) Redwood City (state/country) CA  
Mailing Address: 2010 Arbryan Way, Redwood City, CA  
(Zip Code) 94061

2. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: William Alexander Denny New Zealand (citizenship)  
(first) MI (last)  
Residence: (city) Auckland (state/country) New Zealand  
Mailing Address: 165 Gossamer Drive, Pakuranga, Auckland, New Zealand  
(Zip Code) 1706

**[X] See attached sheet(s) for additional inventor(s) information!!**

RULE 63 (37 C.F.R. 1.63)  
INVENTORS DECLARATION FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

3. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: Michael Patrick Hay New Zealand  
(first) MI (last) (citizenship)  
Residence: (city) Auckland (state/country) New Zealand  
Mailing Address: 52 St. Leonard's Road, Epsom, Auckland, New Zealand  
(Zip Code) 1003
4. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: Kevin Owen Hicks New Zealand  
(first) MI (last) (citizenship)  
Residence: (city) Auckland (state/country) New Zealand  
Mailing Address: 26 Duke Street, Mount Roskill, Auckland, New Zealand  
(Zip Code) 1004
5. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: Swarnalatha Akuratiya Gamage New Zealand  
(first) MI (last) (citizenship)  
Residence: (city) Auckland (state/country) New Zealand  
Mailing Address: 14B Oakdale Road, Hillsborough, Auckland, New Zealand  
(Zip Code)
6. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: Frederik Bastiaan Puijn Dutch  
(first) MI (last) (citizenship)  
Residence: (city) Auckland (state/country) New Zealand  
Mailing Address: 1052 Scenic Drive North, Swanson, Auckland, New Zealand  
(Zip Code) 1008
7. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: William Robert Wilson New Zealand  
(first) MI (last) (citizenship)  
Residence: (city) Waiuku (state/country) New Zealand  
Mailing Address: 199 Smith Road, Waiuku, New Zealand  
(Zip Code) RD2

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**Customer Number: 23117**

1. Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: J. Martin Brown United States of America (citizenship)  
(first) MI (last)  
Residence: (city) Redwood City (state/country) CA  
Mailing Address: 2010 Arbryan Way, Redwood City, CA  
(Zip Code) 94061

2. *2-00* Inventor's Signature: *WA* \_\_\_\_\_ Date: *22 April 2005*  
Inventor: William Alexander Denny New Zealand (citizenship)  
(first) MI (last)  
Residence: (city) Auckland (state/country) New Zealand *NZX*  
Mailing Address: 165 Gossamer Drive, Pakuranga, Auckland, New Zealand  
(Zip Code) 1706

**[X] See attached sheet(s) for additional inventor(s) information!!**

RULE 63 (37 C.F.R. 1.63)  
INVENTORS DECLARATION FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

3-4  
3. Inventor's Signature: [Signature] Date: 22 April 2005  
Inventor: Michael Patrick Hay New Zealand  
(first) (last) (citizenship)  
Residence: (city) Auckland (state/country) New Zealand NZX  
Mailing Address: 52 St. Leonard's Road, Epsom, Auckland, New Zealand  
(Zip Code) 1003

4-4  
4. Inventor's Signature: [Signature] Date: 29.04.05  
Inventor: Kevin Owen Hicks New Zealand  
(first) (last) (citizenship)  
Residence: (city) Auckland (state/country) New Zealand NZX  
Mailing Address: 26 Duke Street, Mount Roskill, Auckland, New Zealand  
(Zip Code) 1004

5-4  
5. Inventor's Signature: [Signature] Date: 22/04/2005  
Inventor: Swarnalatha Akuratiya Gamage New Zealand  
(first) (last) (citizenship)  
Residence: (city) Auckland (state/country) New Zealand NZX  
Mailing Address: 14B Oakdale Road, Hillsborough, Auckland, New Zealand  
(Zip Code)

6-4  
6. Inventor's Signature: [Signature] Date: 02/05/2005  
Inventor: Frederik Bastiaan Pruin Dutch  
(first) (last) (citizenship)  
Residence: (city) Auckland (state/country) New Zealand NZX  
Mailing Address: 1052 Scenic Drive North, Swanson, Auckland, New Zealand  
(Zip Code) 1008

7-4  
7. Inventor's Signature: [Signature] Date: 2/5/2005  
Inventor: William Robert Wilson New Zealand  
(first) (last) (citizenship)  
Residence: (city) Waiuku (state/country) New Zealand NZX  
Mailing Address: 199 Smith Road, Waiuku, New Zealand  
(Zip Code) RD2

#7

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

BROWN et al

Atty. Ref.: 4450-12; Confirmation No.

Appl. No. 10/528,156

TC/A.U. Unassigned

Filed: March 17, 2005

Examiner: Unassigned

For: DNA-TARGETED BENZOTRIAZINE 1,4-DIOXIDES AND THEIR USE IN CANCER THERAPY

\* \* \* \* \*

August 17, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**IDENTIFICATION OF PRACTITIONERS TO BE RECOGNIZED**

Of the Patent Practitioners identified in the concurrently filed Power of Attorney, please recognize the following listed Patent Practitioners as being of record in the above-identified application/patent.

**Listing of Practitioners of Record (No more than 10)**

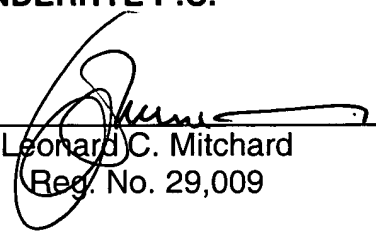
Larry S. Nixon	Reg. No. <u>25,640</u>
Arthur R. Crawford	Reg. No. <u>25,327</u>
Robert W. Faris	Reg. No. <u>31,352</u>
Mark E. Nusbaum	Reg. No. <u>32,348</u>
Michael J. Keenan	Reg. No. <u>32,106</u>
Jeffry H. Nelson	Reg. No. <u>30,481</u>
John R. Lastova	Reg. No. <u>33,149</u>
H. Warren Burnam, Jr.	Reg. No. <u>29,366</u>
Michael J. Shea	Reg. No. <u>34,725</u>
Leonard C. Mitchard	Reg. No. <u>29,009</u>

BROWN et al  
Appl. No. 10/528,156  
August 17, 2005

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: \_\_\_\_\_

  
Leonard C. Mitchard  
Reg. No. 29,009

LCM:lfm  
901 North Glebe Road, 11th Floor  
Arlington, VA 22203-1808  
Telephone: (703) 816-4000  
Facsimile: (703) 816-4100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

BROWN et al

Atty. Ref.: 4450-12

Serial No. 10/528,156

Group: Unassigned

Filed: March 17, 2005

Examiner: Unassigned

For: DNA-TARGETED BENZOTRIAZINE 1,4-DIOXIDES AND  
THEIR USE IN CANCER THERAPY

\* \* \* \* \*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**EXCLUSIVE SUBSTITUTE POWER OF ATTORNEY  
AND EXCLUSIVE PROSECUTION HEREAFTER BY  
ASSIGNEE UNDER 37 C.F.R. §§ 1.36, 3.71 AND 3.73**

Auckland Uniservices Limited, being the owner of right, title and interest in the above-identified patent application, hereby revokes all previous powers of attorney in this case, if any, and hereby appoints the attorneys of **Customer Number 23117** individually and collectively its attorneys to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith, and with the resulting patent.

Auckland Uniservices Limited also authorizes Nixon & Vanderhye to act and rely solely on instructions communicated from the person, attorney, firm or other organization sending instructions to Nixon & Vanderhye on behalf of the owner.

BROWN et al  
Serial No. 10/528,156

**Certificate Under 37 C.F.R. §3.73(b)**

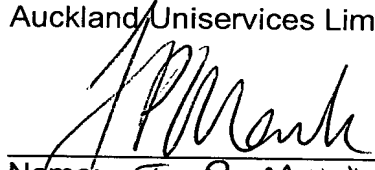
Auckland Uniservices Limited, a corporation having a place of business at Level 10, 70 Symonds Street, Auckland, New Zealand, states that it is an assignee of right, title and interest in the patent application identified above by virtue of an assignment from the inventors to the aforesaid assignee, a copy of the assignment being attached.

The undersigned (whose title is typed below) is empowered to sign this statement on behalf of Auckland Uniservices Limited.

Auckland Uniservices Limited

26 July 2005  
Date

By:

  
Name: J. P. Monk  
Title: Intellectual Property Adviser



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

BROWN et al

Atty. Ref.: 4450-12

Serial No. 10/528,156

Group: Unassigned

Filed: March 17, 2005

Examiner: Unassigned

For: DNA-TARGETED BENZOTRIAZINE 1,4-DIOXIDES AND  
THEIR USE IN CANCER THERAPY

\* \* \* \* \*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**EXCLUSIVE SUBSTITUTE POWER OF ATTORNEY  
AND EXCLUSIVE PROSECUTION HEREAFTER BY  
ASSIGNEE UNDER 37 C.F.R. §§ 1.36, 3.71 AND 3.73**

The Board of Trustees of the Leland Stanford Junior University, being the owner of right, title and interest in the above-identified patent application, hereby revokes all previous powers of attorney in this case, if any, and hereby appoints the attorneys of **Customer Number 23117** individually and collectively its attorneys to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith, and with the resulting patent.

The Board of Trustees of the Leland Stanford Junior University also authorizes Nixon & Vanderhye to act and rely solely on instructions communicated from the person, attorney, firm or other organization sending instructions to Nixon & Vanderhye on behalf of the owner.

**Certificate Under 37 C.F.R. §3.73(b)**

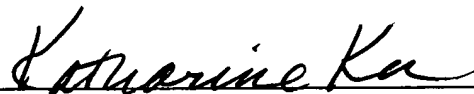
The Board of Trustees of the Leland Stanford Junior University, a corporation having a place of business at 1705 El Camino Real, Palo Alto, CA 94306 states that it is an assignee of right, title and interest in the patent application identified above by virtue of an assignment from the inventors to the aforesaid assignee, a copy of the assignment being attached.

The undersigned (whose title is typed below) is empowered to sign this statement on behalf of The Board of Trustees of the Leland Stanford Junior University.

The Board of Trustees of the Leland  
Stanford Junior University

August 4, 2005  
Date

By:

  
Name: Katharine Ku  
Title: Director, Office of Technology  
Licensing